

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#3

IN RE APPLICATION OF: Karl HAEBERLE, et al.

SERIAL NO: New U.S. PCT Application Based on PCT/EP03/08099

GAU:

FILED: Herewith

EXAMINER:

FOR: WATER-EMULSIFIABLE ISOCYANATES HAVING IMPROVED PROPERTIES

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.


Norman F. Oblon

Registration No. 24,618

Customer Number

22850

Tel. (703) 413-3000
Fax. (703) 413-2220
(OSMMN 05/03)

Surinder Sachar

Registration No. 34,423

New U.S. PCT Application PCT/EP03/08099
Docket No.: 264519US0PCT

STATEMENT OF RELEVANCY

- 1) References AA, AG-AJ have been cited in the International Search Report. Copies of these references are being submitted herewith only when not automatically provided by the International Searching Authority.
- 2) References _____ have been cited in the corresponding _____ Search Report. A copy of these references is being submitted herewith.
- 3) References AB-AF & AK-AAL are discussed in the specification. A copy of these references is being submitted here with.
- 4) References _____ are additional prior art known to Applicant. A copy of these references is being submitted herewith.

Form PTO 1449
(Modified)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO.

264519US0PCT

SERIAL NO. 10/522715
New U.S. PCT Application
Based on PCT/EP03/08099

LIST OF REFERENCES CITED BY APPLICANT

APPLICANT

Karl HAEBERLE, et al.

FILING DATE

Herewith

GROUP

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	5 856 420	01/05/99	TUCKER, Benjamin W. et al.			
	AB	3 769 318	10/30/73	WINDEMUTH, Erwin et al.			
	AC	3 479 310	11/18/69	DIETERICH, Dieter et al.			
	AD	4 108 814	08/22/78	REIFF, Helmut et al.			
	AE	4 092 286	05/30/78	NOLL, Klaus et al.			
	AF	4 190 566	02/26/80	NOLL, Klaus et al.			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AG	0 129 813	01/02/85	EP (equivalent of US 4578426)		NO
	AH	0 959 087	11/24/99	EP (equivalent of US 6426414)		NO
	AI	0 486 881	05/27/92	EP (equivalent of US 5387367)		NO
	AJ	01 38415	05/31/01	WO (equivalent of US 2003/0069382)		NO
	AK	0 206 059	12/30/86	EP		NO
	AL	0 540 985	05/12/93	EP (equivalent of US 5252696)		NO
	AM	199 58 170	06/07/01	DE (equivalent of US 6777523)		NO
	AN	198 22 890	11/25/99	DE (equivalent of US 6204323)		NO
	AO	198 47 077	11/25/99	DE (equivalent of US 6426414)		NO
	AP	0 754 713	01/22/97	EP (equivalent of US 5780542)		NO
	AQ	100 07 820	08/23/01	DE (equivalent of US 6384175)		NO
	AR	41 13 160	10/29/92	DE (equivalent of US 5473011)		NO
	AS	0 728 785	08/28/96	EP (equivalent of US 5731396)		NO
	AT	40 01 783	07/25/91	DE (equivalent of US 5098983)		NO
	AU	0 548 669	06/30/93	EP (equivalent of US 5334637)		NO
	AV	0 582 166	02/09/94	EP (equivalent of US 5739249)		NO
	AW	0 531 820	03/17/93	EP (equivalent of US 5258452)		NO
	AX	197 24 199	12/10/98	DE		NO
	AY	0 703 255	03/27/96	EP (equivalent of US 5583176)		NO
	AZ	0 697 424	02/21/96	EP (equivalent of US 5587421)		NO
	AAA	42 03 510	08/12/93	DE		NO
	AAB	0 959 115	11/24/99	EP (equivalent of US 6204323)		NO
	AAC	994 890	06/10/65	GB		NO
	AAD	0 000 194	01/10/79	EP		NO
	AAE	0 649 866	04/26/95	EP		NO
	AAF	101 61 156	06/18/03	DE		NO
	AAG	1 076 688	07/19/67	GB		NO

Examiner

Date Considered

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO 1449
(Modified)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO.

264519US0PCT

SERIAL NO. 10/522713

New U.S. PCT Application
Based on PCT/EP03/08099

LIST OF REFERENCES CITED BY APPLICANT

APPLICANT

Karl HAEBERLE, et al.

FILING DATE

Herewith

GROUP

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AAH	26 51 505	05/24/78	DE (equivalent of GB 1564259)		NO
	AAI	27 32 131	01/25/79	DE (equivalent of US 4192937)		NO
	AAJ	28 11 148	09/20/79	DE		NO

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AAK	Edited by STOYE, D. et al. "Lackharze", Hanser, page 195 1996
	AAL	see Houben-Weyl, Methoden der organischen Chemie, 4 th ed., vol. E20, pages 217-226
	AAM	
	AAN	
	AAO	
	AAP	
	AAQ	
	AAR	
	AAS	
	AAT	
	AAU	
	AAV	
	AAW	

Examiner

Date Considered

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.